



ADDENDUM TO AGREEMENT FOR USE OF RESTRICTED DATA FROM THE LOS ANGELES FAMILY AND NEIGHBORHOOD SURVEY

*Please submit one signed original electronic copy of this document,
which will be countersigned and returned to you.*

This Agreement is by and among RAND, a California corporation located at 1776 Main Street, P.O. Box 2138, Santa Monica, CA 90407 ("RAND") and the undersigned Restricted Data Investigator, Center Director (if applicable), and Receiving Institution to protect against potential misuse or disclosure of Restricted Data relating to RAND's Los Angeles Family and Neighborhood Survey ("L.A.FANS").

Item C.3 in "Representations by Investigator" is changed to:

FOR VERSION 3 RESTRICTED DATA ONLY: The Investigator either: (a) has a current federally-funded research grant or contract or (b) agrees to use the data under the auspices of a federally-funded research center and to abide by restrictions that the Center Director may impose to comply with the provisions of this agreement. Information about the Investigator's current federally-funded research grant or contract or the about the Center Director's federally-funded grant or contract which provides institutional support to the research center, including the title, principal investigator, grant award or contract number, funding agency, and program or contract officer name, title, and contact information, has been provided to RAND and is attached to this agreement as Exhibit E.

A new item in "Representations by Investigator" is the following:

The Investigator will submit to RAND the names of Research Staff or co-investigators who no longer have access to the Restricted Data within one month of this change in status.

Item C.4 in "Representations by Investigator" is changed to:

The Investigator will submit to RAND an annual report every year for the duration of this contract. The annual report must include a copy of the Research Plan and the Restricted Data Protection Plan that have been reviewed and approved by the Receiving Institution's Institutional Review Board / Human Subjects Review Committee. The annual report must also include an updated list of all project team members and a list and electronic copies of all publications and documents using the restricted data including slides or posters from presentations, working papers, dissertations, theses, manuscripts, reports, book chapters, and published or forthcoming journal articles prepared since the beginning of the project or since the previous submission of these materials.

A new item in "Representations by Investigator" is the following:

Each written report, conference presentation, publication, and document based on analysis of the restricted data should include the following statement:

This research uses data from the Los Angeles Family and Neighborhood Survey (L.A.FANS). Data collection for L.A.FANS was funded primarily by grants HD35944 and HD49865 from the *Eunice Kennedy Shriver* National Institute of Child Health and Human

Development, grant AG22005 from the National Institute on Aging, and grant ES13907 from the National Institute of Environmental Health Science. Information on how to obtain the L.A.FANS data files is available on the L.A.FANS website (<http://lasurvey.rand.org>).

A new item in “Representations by Investigator” is the following:

Each written report, conference presentation, publication, and document based on analysis of the restricted data should include the following citation:

Pebley, Anne R., and Narayan Sastry. 2011. The Los Angeles Family and Neighborhood Survey, Wave 1 (2000–2001) and Wave 2 (2006–2008) [machine-readable data file and documentation]. Santa Monica, CA: RAND Corporation.

A new item in “Representations by Receiving Institution” is the following:

The Receiving Institution agrees to allow RAND or its designated agent to conduct unannounced and unscheduled inspections of the restricted data site(s) to assess compliance with the terms of this Agreement.

A new section of documents which are “Incorporated by Reference” is the following:

H. Incorporation by Reference

The parties agree that the following Exhibits are incorporated into this Agreement by reference:

1. Exhibit A: Research Plan submitted to and approved by RAND.
2. Exhibit B: Restricted Data Protection Plan submitted to and approved by RAND.
3. Exhibit C: Signed Supplemental Agreement(s) With Research Staff.
4. Exhibit D: L.A.FANS Certificates of Confidentiality.
5. Exhibit E: FOR VERSION 3 RESTRICTED DATA ONLY: Information about the Investigator’s current federally-funded research grant or contract or the about the Center Director’s federally-funded grant or contract which provides institutional support to the research center, including the title, principal investigator, grant award or contract number, funding agency, and program or contract officer name, title, and contact information.
6. Exhibit F: Receiving Institution’s Federalwide Assurance certification statement and number.
7. Exhibit G: Certification of Receiving Institution’s Institutional Review Board / Human Subjects Protection Committee’s review and approval of the Research Plan and Restricted Data Protection Plan as approved by RAND.
8. Exhibit H: Receiving Institution’s policies and procedures for resolving questions of scientific integrity and misconduct.

